



PATENT APPLICATION

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventors: Sindo Kou  
Jia-Jie He

Date: April 7, 2003

Serial No.: 09/834,791

Docket No.: 032026:0546

Filed: April 13, 2001

Group Art Unit: 1765

Examiner: Matthew J. Song

For: **GROWING OF HOMOGENEOUS CRYSTALS BY BOTTOM SOLID  
FEEDING**

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FC 1700

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Non-Fee Amendment, Commissioner of Patents, Washington, D.C. 20231 on April 7, 2003.

\_\_\_\_\_  
Harry C. Engstrom

(Name of applicant, assignee  
or Registered Representative)

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
April 7, 2003

(Date of Signature)

**AMENDMENT AND REQUEST FOR RECONSIDERATION  
UNDER 37 C.F.R. § 1.111**

Box Non-Fee Amendment  
Commissioner for Patents  
Washington, D.C. 20231

04/21/2003 RHOLLAND 00000001 502350 09834791

01 FC:2201 42.00 CH  
02 FC:2202 9.00 CH

Sir,  
This is in response to a non-final Office Action mailed January 9, 2003, in relation to the above-referenced patent application. The period for response to the Office Action is set to expire on April 9, 2003. Accordingly, Applicants believe that this response is timely filed.

FORM PTO-1083

In re application of: Sindo KOU, et al.  
Serial No. 09/834,791

Filed: April 13, 2001

For: GROWING OF HOMOGENEOUS CRYSTALS BY BOTTOM SOLID FEEDING

COMMISSIONER FOR PATENTS  
U.S. Patent and Trademark Office  
Washington, D.C. 20231

Case Docket No. 032026:0546



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Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ Small entity status of this application under 37 CFR §1.9 and §1.27 has been established.  
☐ A verified statement to establish small entity under 37 CFR §1.9 and §1.27 is enclosed.  
☒ No additional fee is required.

The filing fee has been calculated as shown below:

(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	OTHER THAN A SMALL ENTITY
CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	RATE
TOTAL 26	MINUS 37	= 0	x 9 = \$ 0	x 18 = \$
INDEP. 3	MINUS 3	= 0	x 42 = \$ 0	x 84 = \$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM			+ 140 = \$ 0	+ 280 = \$
			TOTAL ADDIT. FEE \$ 0	OR TOTAL \$

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.  
The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is attached.  
☐ A check in the amount of \$ \_\_\_\_\_ is attached.  
☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-2350. A duplicate copy of this sheet is attached.  
☐ Any filing fees under 37 CFR §1.16 for the presentation of extra claims.  
☐ Any patent application processing fees under 37 CFR §1.17.

Please stamp the enclosed postcard with the filing date and serial number and return the same to me.

Respectfully submitted,

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